

# Company Disclosures

## Possible Conflicts of Interest

As of March 31, 2024

American Enterprise Investment Services Inc. (“AEIS”) and Ameriprise Financial Services, LLC (“AFS”) are member firms registered with FINRA and are subject to the disclosure requirements relating to research analysts and the publication and distribution of research reports.

In the past 12 months, either AEIS or AFS was involved in the distribution of securities for (none).

In the past 12 months, either AEIS or AFS received compensation for products or services other than investment banking services from the following subject company(ies): Bank of America, Broadridge Financial Solutions, Inc., Citigroup Inc., Credit Suisse Group AG, Goldman Sachs, HSBC Holdings, Invesco Ltd., JPMorgan Chase, MetLife, Microsoft, Morgan Stanley, MSCI, PNC Financial Services, SunTrust Banks, Wells Fargo.

The following subject company(ies) have an arrangement with either AEIS or AFS that presents a material conflict of interest. Examples include but are not limited to conducting a stock loan/borrow business with the institution, maintaining a distribution agreement with the company, maintaining a cost reimbursement or marketing support agreement or similar business arrangement with the company and arrangements to provide financial education and/or access to planning or other financial products to the company: Accenture, Adobe Inc., American Century Investments, Bank of America, BB&T Corp., BlackRock, BNY Mellon, Broadridge Financial Solutions, Inc., Citigroup Inc., Columbia Threadneedle Investments, Credit Suisse Group AG, Delaware Investments, Dreyfus Funds, DWS Investments (formerly Deutsche Asset & Wealth Management), Eaton Vance, Eventide, Federated Hermes, Fidelity Investments, First Eagle Funds, Florida Power & Light, Franklin Templeton, Goldman Sachs, Hartford Financial Services Group, Inc., HSBC Holdings, Invesco Ltd., Janus Henderson Group PLC, John Hancock, JPMorgan Chase, Lord Abbett, Mainstay Investments, Marsh & McLennan Companies, McDonalds Corporation, MetLife, MFS (Sun Life), Microsoft, Mitsubishi UFJ Financial Group, Inc., Morgan Stanley, MSCI, NASDAQ, Natixis Funds, Neuberger Berman, Nuveen, Oracle Corporation, Paychex, PGIM Investments, PNC Financial Services, Principal Funds, Prudential Financial, Putnam, Raymond James Financial, Inc., SAP, State Street Corp., SunTrust Banks, Truist Financial Group, UBS Group AG, United Health Group, Vanguard, Virtus, Visa, Voya Investment Management, W.P. Carey Inc., Wells Fargo.

Either AEIS, AFS, or an affiliate beneficially owns (or is short) 1% or more of one or more classes of common equity securities of the following subject companies: American Water Works Company, Inc., Analog Devices, BlackRock, Booz Allen Hamilton, Bristol-Myers Squibb, Cardinal Health, Catalent, Inc., Centene Corporation, Check Point Software Technologies Ltd., Chubb Limited, Cisco Systems Inc., Citigroup Inc., CMS Energy, Corning, Inc., Colgate-Palmolive Co., Comcast Corp., DTE Energy, EOG Resources, Inc., Essex Property Trust, Hartford Financial Services Group, Inc., Honeywell International, Inc., Humana Inc., J M Smucker Co., L3Harris Technologies, Marsh & McLennan Companies, MetLife, Mondelez International, Inc., Prologis Inc., PNC Financial Services, Qualcomm Inc., S&P Global Inc., Synopsys, Sysco Corporation, Take Two Interactive Software, TE Connectivity Ltd., TechnipFMC, United Parcel Service, Waste Management, WEC Energy Group.